

#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80119

Dae-sik KIM, et al.

Appln. No.: 10/792,278

Group Art Unit: 2872

Confirmation No.: 4215

Examiner: Mark Consilvio

Filed: March 4, 2004

For:

OPTICAL COMBINING DEVICE

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/792,278

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits a foreign office action for a related U.S.

Application/Publication 10/794,401, 2004/0233342, together with an English-language version

(if not already included) of at least that portion of the Communication indicating the degree of

relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 55,470

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Date: September 12, 2006

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# INFORMATION DISCEOSURE STATEMENT BY APPLICANTED

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Sheet	1	of	2

Complete if Known					
Application Number	10/792,278				
Confirmation Number	4215				
Filing Date	March 4, 2004				
First Named Inventor	Dae-sik KIM				
Art Unit	2872				
Examiner Name	Mark Consilvio				
Attorney Docket Number	Q80119				

	U.S. PATENT DOCUMENTS						
F	Cita	Document N	umber	Publication Date			
	Cite No. <sup>1</sup>	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 6,273,567	B1	08-14-2001	Conner et al.		
		US 6,327,093	Bl	12-04-2001	Nakanishi		
		US 6,343,864	B1	02-05-2002	Tajiri		
		US 6,375,330	Bl	04-23-2002	Mihalakis		
		US 6,626,540	B2	09-30-2003	Ouchi et al.		
		US 6,628,346	BI	09-30-2003	Ebiko et al.		
	****	US 2002/0051100	A1	05-02-2002	Kwon et al.		
		US 2003/0147051	Al	08-07-2003	Fujita et al.		
		US 2003/0151725	A1	08-14-2003	Hayashi et al.		

_	FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Foreign Patent Document			Publication Date	Name of Patentee or	Translation <sup>6</sup>		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
		CN	1363846				Foreign office action	

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*					
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

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Sheet	2	of	2

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Examiner	Cite	Document No		Publication Date	"		
Initials*	No.	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 2004-0070734	Al	04-15-2004	Suzuki et al.		
		US 2004-0233342	A1	11-25-2004	Kim et al.		
		US					
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